

Multiple Agency Fiscal Note Summary

Bill Number: 1202 HB	Title: Ombudsman/dev disabilities
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Estimated Cash Receipts

Agency Name	2007-09		2009-11		2011-13	
	GF- State	Total	GF- State	Total	GF- State	Total
Department of Social and Health Services	0	1,149,000	0	870,000	0	870,000
Total \$	0	1,149,000	0	870,000	0	870,000

Local Gov. Courts *						
Local Gov. Other **						
Local Gov. Total						

Estimated Expenditures

Agency Name	2007-09			2009-11			2011-13		
	FTEs	GF-State	Total	FTEs	GF-State	Total	FTEs	GF-State	Total
Office of the Governor	11.0	1,566,240	1,566,240	11.0	1,153,440	1,153,440	11.0	1,153,440	1,153,440
Department of Social and Health Services	1.6	105,000	1,254,000	1.6	102,000	972,000	1.6	102,000	972,000
Total	12.6	\$1,671,240	\$2,820,240	12.6	\$1,255,440	\$2,125,440	12.6	\$1,255,440	\$2,125,440

Local Gov. Courts *									
Local Gov. Other **									
Local Gov. Total									

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Prepared by: Mike Woods, OFM	Phone: 360-902-9819	Date Published: Final 1/29/2007
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* See Office of the Administrator for the Courts judicial fiscal note

** See local government fiscal note

FNPID: 15074

Individual State Agency Fiscal Note

Bill Number: 1202 HB	Title: Ombudsman/dev disabilities	Agency: 075-Office of the Governor
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Part I: Estimates

☐ No Fiscal Impact

Estimated Cash Receipts to:

FUND					
Total \$					

Estimated Expenditures from:

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	11.0	11.0	11.0	11.0	11.0
Fund					
General Fund-State 001-1	869,520	696,720	1,566,240	1,153,440	1,153,440
Total \$	869,520	696,720	1,566,240	1,153,440	1,153,440

The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.

Check applicable boxes and follow corresponding instructions:

- ☒ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

Legislative Contact:	Phone:	Date: 01/15/2007
Agency Preparation: Brad Killman	Phone: 360-902-0617	Date: 01/18/2007
Agency Approval: Aaron Butcher	Phone: 360-902-0406	Date: 01/18/2007
OFM Review: Mike Woods	Phone: 360-902-9819	Date: 01/26/2007

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe, by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

Section 1 creates the Office of the Ombudsman for Persons with Developmental Disabilities within the Office of the Governor. The ombudsman reports directly to the governor and is independent from DSHS.

Section 11 establishes a nine member developmental disabilities ombudsman consumer advisory committee.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

Our estimates in this fiscal note are based on figures obtained from the Office for Family and Children Ombudsman (OFCO), which operates within the Office of the Governor. OFCO has a base of approximately 15,000 clients, of those they investigate an average of about 475 complaints a year, or about 3% of their client base. OFCO has four ombudsman and two support staff to fullfill the statutorial duties of this office. The statute that creates the duties of the Ombudsman for Persons with Developmental Disabilities (OPDD) is very similar to that of OFCO.

The Division of Developmental Disabilities in DSHS has approximately 32,000 clients. For the purposes of this fiscal note, we will assume that OPDD will have approximately the same percentage of complaints that OFCO has (3%), which would amount to about 960 complaints a year. The four ombudsman in OFCO would investigate an average about 120 complaints each, per year. Given these figures, we estimate that the OPDD will need 8 ombudsman to review the estimated 960 complaints. The OPDD will need 3 staff support positions, one of which will be designated as an IT position to support all information system needs.

We assume the OPDD activities qualify for federal match funds, and we estimate that percentage to be 40%. We would recover these funds from DSHS through an interagency agreement.

One-Time Start-up Costs

- Furniture - \$3,000 per FTE
- Equipment (computers, telephones, pagers, etc.) - \$4,000 per FTE
- Office IT equipment (server, printers, fax machines) - \$12,000
- IT Infrastructure (case tracking/reporting database, software,) - \$600,000
- **Estimated contract cost: \$300/hr @ 2,000 hours to build system (this system would be similar to OFCO's database/reporting system)
- Training costs will be higher in first fiscal year because of the expected investigative training all new hires will be required to attend

The developmental disabilities ombudsman consumer advisory committee has nine members and it appears they would all be non-state government employees. The bill is silent on whether travel costs would be reimbursed to all members. We are going to assume that they would be entitled to reimbursement. The committee will meet quarterly and must produce an annual written evaluation of the developmental disabilities ombudsman program for the Governor no later than August

31st of each year.

Committee cost assumptions:

meeting space - \$500/per meeting

Per diem reimb - \$900/per meeting

Administrative costs (supplies, report, etc) - absorbed by the OPDD

We assume that the fiscal and HR office in OFM will provide personnel, accounting, and budget services for the OPDD.
The cost is built into the goods and services costs.

Part III: Expenditure Detail

III. A - Expenditures by Object Or Purpose

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	11.0	11.0	11.0	11.0	11.0
A-Salaries and Wages	645,600	645,600	1,291,200	1,291,200	1,291,200
B-Employee Benefits	153,000	153,000	306,000	306,000	306,000
C-Personal Service Contracts	400,000	200,000	600,000		
E-Goods and Services	140,600	140,600	281,200	281,200	281,200
G-Travel	22,000	22,000	44,000	44,000	44,000
J-Capital Outlays	88,000		88,000		
M-Inter Agency/Fund Transfers					
N-Grants, Benefits & Client Services					
P-Debt Service					
S-Interagency Reimbursements	(579,680)	(464,480)	(1,044,160)	(768,960)	(768,960)
T-Intra-Agency Reimbursements					
Total:	\$869,520	\$696,720	\$1,566,240	\$1,153,440	\$1,153,440

III. B - Detail: List FTEs by classification and corresponding annual compensation. Totals need to agree with total FTEs in Part I and Part IIIA

Job Classification	Salary	FY 2008	FY 2009	2007-09	2009-11	2011-13
Administrative Assistant	38,400	2.0	2.0	2.0	2.0	2.0
Asst Ombudsman	62,400	7.0	7.0	7.0	7.0	7.0
ITAS 3	48,000	1.0	1.0	1.0	1.0	1.0
Ombudsman	84,000	1.0	1.0	1.0	1.0	1.0
Total FTE's		11.0	11.0	11.0	11.0	11.0

Part IV: Capital Budget Impact

Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.

Individual State Agency Fiscal Note

Bill Number: 1202 HB	Title: Ombudsman/dev disabilities	Agency: 300-Dept of Social and Health Services
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Part I: Estimates

☐ No Fiscal Impact

Estimated Cash Receipts to:

FUND	FY 2008	FY 2009	2007-09	2009-11	2011-13
General Fund-Federal 001-2	634,000	515,000	1,149,000	870,000	870,000
Total \$	634,000	515,000	1,149,000	870,000	870,000

Estimated Expenditures from:

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	1.6	1.6	1.6	1.6	1.6
Fund					
General Fund-State 001-1	54,000	51,000	105,000	102,000	102,000
General Fund-Federal 001-2	634,000	515,000	1,149,000	870,000	870,000
Total \$	688,000	566,000	1,254,000	972,000	972,000

The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.

Check applicable boxes and follow corresponding instructions:

- ☒ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

Legislative Contact:	Phone:	Date: 01/15/2007
Agency Preparation: James H. Kettel	Phone: 360 902-8067	Date: 01/24/2007
Agency Approval: Sue Breen	Phone: 360-902-8183	Date: 01/26/2007
OFM Review: Carole Holland	Phone: 360-902-0580	Date: 01/29/2007

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe, by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

HB-1202 establishes an Office of the Ombudsman for Persons with Developmental Disabilities (OPDD) within the Office of the Governor. Section 10 (3) states that the Department of Social and Health Services (DSHS) shall grant OPDD staff the right to access, inspect, and copy information that is relevant to an investigation.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

It is assumed that OPDD activities will qualify for matching funds at a rate of 60% GF-State and 40% Federal. OPDD will recover these funds from DSHS through an interagency agreement at an amount of \$579,000 in FY08, \$464,000 in FY09, and \$384,000 from FY10 forward. Also, approximately \$50,000 in federal funds each fiscal year relates specifically to the additional DSHS staff described within the expenditure section of this fiscal note. All federal funds are Title XIX.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

The Office of the Family and Children's Ombudsman (OFCO) was established in 1996. The mission of OFCO, as stated on its website, is to "ensure that abused and neglected children and their parents are served reasonably and fairly by government agencies." OFCO estimates that 20% of its staff time is spent working directly with DSHS staff (through telephone, email, fax, or face-to-face meetings). It is assumed that the OFCO experience offers a reasonable estimate for the additional DSHS staff time that will be spent with staff at the newly created OPDD.

Proposed OPDD Staff* = 8
Proposed OPDD Staff Hours/Week = 8 * 40 = 320
OPDD Staff Hours/Week Spent with DSHS = 320 * 0.20 = 64
Additional DSHS FTEs = 64 / 40 = 1.6

*Proposed OPDD Staff comes from the fiscal note for HB-3155 from the 2006 Legislative Session.

Part III: Expenditure Detail

III. A - Expenditures by Object Or Purpose

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	1.6	1.6	1.6	1.6	1.6
A-Salaries and Wages	55,000	55,000	110,000	110,000	110,000
B-Employee Benefits	21,000	21,000	42,000	42,000	42,000
C-Personal Service Contracts					
E-Goods and Services	18,000	18,000	36,000	36,000	36,000
G-Travel					
J-Capital Outlays	13,000	6,000	19,000	12,000	12,000
M-Inter Agency/Fund Transfers					
N-Grants, Benefits & Client Services					
P-Debt Service					
S-Interagency Reimbursements	579,000	464,000	1,043,000	768,000	768,000
T-Intra-Agency Reimbursements					
9-TZ	2,000	2,000	4,000	4,000	4,000
Total:	\$688,000	\$566,000	\$1,254,000	\$972,000	\$972,000

III. B - Detail: List FTEs by classification and corresponding annual compensation. Totals need to agree with total FTEs in Part I and Part IIIA

Job Classification	Salary	FY 2008	FY 2009	2007-09	2009-11	2011-13
Administrative Assistant 3	2,844	1.6	1.6	1.6	1.6	1.6
Total FTE's		1.6	1.6	1.6	1.6	1.6

III. C - Expenditures By Program (optional)

Program	FY 2008	FY 2009	2007-09	2009-11	2011-13
Division of Developmental Disabilities (040)	688,000	566,000	1,254,000	972,000	972,000
Total \$	688,000	566,000	1,254,000	972,000	972,000

Part IV: Capital Budget Impact

Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.