

# Multiple Agency Fiscal Note Summary

<b>Bill Number:</b> 6206 SB	<b>Title:</b> Child fatality
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## Estimated Cash Receipts

Agency Name	2007-09		2009-11		2011-13	
	GF- State	Total	GF- State	Total	GF- State	Total
Department of Social and Health Services	0	45,000	0	86,000	0	86,000
<b>Total \$</b>	0	45,000	0	86,000	0	86,000

Local Gov. Courts *						
Local Gov. Other **						
Local Gov. Total						

## Estimated Expenditures

Agency Name	2007-09			2009-11			2011-13		
	FTEs	GF-State	Total	FTEs	GF-State	Total	FTEs	GF-State	Total
Office of the Governor	.8	196,950	196,950	1.5	329,300	329,300	1.5	329,300	329,300
Department of Social and Health Services	.9	143,000	188,000	1.7	272,000	358,000	1.7	272,000	358,000
<b>Total</b>	1.7	\$339,950	\$384,950	3.2	\$601,300	\$687,300	3.2	\$601,300	\$687,300

Local Gov. Courts *									
Local Gov. Other **									
Local Gov. Total									

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<b>Prepared by:</b> Tammy Hay, OFM	<b>Phone:</b> 360-902-0553	<b>Date Published:</b> Final 1/24/2008
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\* See Office of the Administrator for the Courts judicial fiscal note

\*\* See local government fiscal note

FNPID: 18622

# Individual State Agency Fiscal Note

<b>Bill Number:</b> 6206 SB	<b>Title:</b> Child fatality	<b>Agency:</b> 075-Office of the Governor
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## Part I: Estimates

☐ No Fiscal Impact

### Estimated Cash Receipts to:

<b>FUND</b>					
<b>Total \$</b>					

### Estimated Expenditures from:

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	0.0	1.5	0.8	1.5	1.5
<b>Fund</b>					
General Fund-State 001-1	0	196,950	196,950	329,300	329,300
<b>Total \$</b>	0	196,950	196,950	329,300	329,300

*The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.*

Check applicable boxes and follow corresponding instructions:

- ☒ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

Legislative Contact: Jennifer Strus	Phone: (360)786-7316	Date: 01/11/2008
Agency Preparation: Stephanie Lidren	Phone: 360-902-0615	Date: 01/16/2008
Agency Approval: Aaron Butcher	Phone: 360-902-0406	Date: 01/17/2008
OFM Review: Mike Woods	Phone: 360-902-9819	Date: 01/24/2008

## Part II: Narrative Explanation

### II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

*Briefly describe, by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.*

SB 6206 requires OFCO to issue an annual report to the legislature on the status of the Department of Social and Health Service's (DSHS) implementation of child fatality and near fatality review recommendations. This bill also broadens mandated reviews by the DSHS to include near fatalities of children in the state who have been under the supervision of DSHS within one year of the near fatality. Currently, DSHS is only required to review child fatalities of children who have been under state supervision within a year of their death. Due to the expanded reporting requirement (inclusion of near fatalities), there will be: 1) more reviews conducted (currently on an annual basis there are approximately 120 combined child fatalities and near fatalities); and 2) more recommendations issued from these reviews. This will require more staff time by an Ombudsman to attend near fatality review meetings, to track the implementation of recommendations; to prepare data on the recommendations; and to write the annual report.

This bill also grants OFCO the authority to require DSHS to conduct a certain type of fatality review, known as an Executive fatality review. Currently, these are only done in select cases at the direction of the Assistant Secretary of Children's Administration. This will require OFCO to conduct a more intensive review of near fatalities at the front end to determine if an Executive review, which draws from professionals who were not involved with the family, is warranted.

The bill also requires the legislature to hold public hearings on the findings of fatality and near fatality review reports within 30 days of the review report being issued. This will result in added OFCO staff time as we expect we will be asked to provide testimony on these reviews.

### II. B - Cash receipts Impact

*Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.*

### II. C - Expenditures

*Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.*

One and a half (1.5) FTEs will be required to implement this legislation:

One (1) Ombudsman to attend near fatality review meetings, which will include the cost of travel throughout the state; staff time to track the implementation of recommendations; to prepare data on DSHS' implementation of fatality and near fatality review recommendations; and to write the annual report on the status of the agency's implementation of the recommendations.

½ administrative support staff person to provide clerical support to the Ombudsmen; to collate and input data on the recommendations; and to assist with analyzing data for purposes of reporting on implementation of the recommendations to the Legislature and the Governor.

Additional money will be necessary to fulfill added reporting requirements. The proposed bill will result in the additional cost of a new annual report.

## Part III: Expenditure Detail

### III. A - Expenditures by Object Or Purpose

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years		1.5	0.8	1.5	1.5
A-Salaries and Wages		82,812	82,812	165,624	165,624
B-Employee Benefits		22,728	22,728	45,456	45,456
C-Personal Service Contracts					
E-Goods and Services		73,310	73,310	106,620	106,620
G-Travel		7,600	7,600	11,600	11,600
J-Capital Outlays		10,500	10,500		
M-Inter Agency/Fund Transfers					
N-Grants, Benefits & Client Services					
P-Debt Service					
S-Interagency Reimbursements					
T-Intra-Agency Reimbursements					
<b>Total:</b>	\$0	\$196,950	\$196,950	\$329,300	\$329,300

**III. B - Detail:** List FTEs by classification and corresponding annual compensation. Totals need to agree with total FTEs in Part I and Part IIIA

Job Classification	Salary	FY 2008	FY 2009	2007-09	2009-11	2011-13
Admin Support	40,000		0.5	0.3	0.5	0.5
Ombudsman	60,000		1.0	0.5	1.0	1.0
<b>Total FTE's</b>			1.5	0.8	1.5	1.5

## Part IV: Capital Budget Impact

## Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.

# Individual State Agency Fiscal Note

<b>Bill Number:</b> 6206 SB	<b>Title:</b> Child fatality	<b>Agency:</b> 300-Dept of Social and Health Services
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## Part I: Estimates

☐ No Fiscal Impact

### Estimated Cash Receipts to:

FUND	FY 2008	FY 2009	2007-09	2009-11	2011-13
General Fund-Federal 001-2		45,000	45,000	86,000	86,000
<b>Total \$</b>		45,000	45,000	86,000	86,000

### Estimated Expenditures from:

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years	0.0	1.7	0.9	1.7	1.7
<b>Fund</b>					
General Fund-State 001-1	0	143,000	143,000	272,000	272,000
General Fund-Federal 001-2	0	45,000	45,000	86,000	86,000
<b>Total \$</b>	0	188,000	188,000	358,000	358,000

*The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.*

Check applicable boxes and follow corresponding instructions:

- ☒ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

Legislative Contact: Jennifer Strus	Phone: (360)786-7316	Date: 01/11/2008
Agency Preparation: Denise Livingston	Phone: 360-902-8196	Date: 01/14/2008
Agency Approval: Sue Breen	Phone: 360-902-8183	Date: 01/24/2008
OFM Review: Tammy Hay	Phone: 360-902-0553	Date: 01/24/2008

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe, by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

Section 2 (2): DSHS must conduct a near fatality review in the event of an unexpected near fatality of a child receiving services or in the custody of DSHS within the last 12 months. Current law requires DSHS to review fatality cases only.

Section 5: DSHS must issue the review report for near-fatalities. The governor may extend the due date.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

Cash Receipts impact for federal funding is Title IV-E.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

Conducting reviews on near-fatality cases will increase the number of reviews performed by an average of 23 per year. Based on the Automated Incident Reporting System (AIRS), the following are the number of reported near fatality cases over the last three years when services were provided to the family within 12 months of the child’s near fatality or the child resided in a licensed or state regulated facility:

- 2005 – 17
- 2006 – 30
- 2007 – 22

The number of near fatality cases may be under reported because not all regional offices enter near fatality cases into AIRS. Not all near fatalities are related to abuse and neglect.

Below is the current process and the estimated time spent on reviewing one fatality case per a Region V Child Protective Services Program Manager, whose job entails reviewing fatality cases. It is assumed the review of near fatality cases will require the same staff effort as fatality cases.

Program Manager prepares for review held with the review participants, which includes gathering case information and the child’s history with the department – 40 hours

Social Worker 3 assigned to the case under review is interviewed by the Program Manager during the preparation process – 1 hour

Program Manager prepares packets of the case information for each review participant – 8 hours

The duration of a review may be 3 hours or may last as long as 12 hours. The majority of the fatality reviews are estimated to be completed within 4 hours. So, for the purpose of this fiscal note, we will assume all near fatality reviews will be conducted in 4 hours. The review participants are as follows: Social Worker 3 assigned to the case, Social Worker 4 assigned to the case, Social Worker 3 with no involvement in the case, 3 Social Worker 4s with no involvement in the case, Intake Supervisor, Program Manager, Area Manager – Total Time (9 member x 4 hours) = 36 hours

Program Manager writes a report based on the outcome of the review, which addresses the issues of the case and any

recommendations for improvement in current practice and policy – 10 hours

Area Manager reviews the report – 2 hours

Regional Manager reviews the report – 2 hours

The report is sent to Children's Administration's Headquarters' Office via AIRS for review. As a result there may be work plans implemented to address any areas needing improvement in practice and policy. These costs are unknown and will not be factored into the fiscal note.

Total review hours = 99 hours per case

99 hours x 23 average annual cases = 2,277 annual hours

	Preparation	Review	Write Report	Review Report	Total Hrs	Average Cases/yr	Total Hrs/year
Program Manager	48	4	10		62		
Social Worker(s) 3	1	8			9		
Social Worker(s) 4		16			16		
Intake Supervisor		4			4		
Area Manager		4		2	6		
Regional Manager				2	2		
	49	36	10	4	99	23	2,277

Based on current staff effort, the cost of near child fatality reviews is as follows:

Total Program Manager review hours = 62 per case

62 hours x 23 average annual cases = 1,426 annual hours

It is estimated a Program Manager has 168 hours available a month

118.8 monthly hours / 168 available hours = .7 FTE

Children's Administration will require 1 FTE Program Manager to manage reviews statewide

Total Social Worker 3 review hours = 9 per case

9 hours x 23 average annual cases = 207 annual hours

Based on the workload study, a Social Worker 3 has 119.2 hours available a month

17.3 monthly hours / 119.2 available hours = .2 FTE

Total Social Worker 4 review hours = 16 hours per case

16 hours x 23 average annual cases = 368 annual hours

Based on the workload study, a Social Worker 4 has 79.7 hours available a month

30.7 monthly hours / 79.7 available hours = .4 FTE

Total Intake Supervisor review hours = 4 hours per case

4 hours x 23 average annual cases = 92 annual hours

Based on the workload study, an Intake Supervisor has 79.7 hours available a month

2.3 monthly hours / 79.7 available hours = .1 FTE

FTE costs and authority are not requested for the Area and Regional Manager's time spent on reviews.

Cases	Hours/ case	Hour/ year	Hours/ month	Available Hrs	FTE
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Request #

0-6206-SB-1

Program Manager	23	62	1426	118.8	168	0.7* (requesting 1.0)
Social Worker 3		9	207	17.3	119.2	0.2
Social Worker 4		16	368	30.7	79.7	0.4
Intake Supervisor		4	92	7.7	79.7	0.1
						1.4 (request: 1.7)

\*The review process as outlined above is the department's current practice. However, if the department increases the number of reviews to also include near fatality cases, the department would need to change current practice and manage the reviews at Children's Administration's Headquarters' office. Therefore, this estimate includes 1 WMS Band 2 Program Manager to coordinate the reviews with the regional offices.

FY09	FY10	FY11	FY12	FY13	Totals
\$22,000	\$21,000	\$21,000	\$21,000	\$21,000	\$106,000
\$43,000	\$41,000	\$41,000	\$41,000	\$41,000	\$207,000
\$13,000	\$12,000	\$12,000	\$12,000	\$12,000	\$61,000
\$110,000	\$105,000	\$105,000	\$105,000	\$105,000	\$530,000
\$188,000	\$179,000	\$179,000	\$179,000	\$179,000	\$904,000

This cost is based on a three year average of 23 near fatality cases. We believe the number of near fatality cases is greater than 23 a year, given not all the regional offices enter the near fatality cases into AIRS. There will be an increase in staff costs if there are more than 23 near fatality cases reviewed a year.

An Executive Child Fatality Review may be convened by the Children's Administration's Assistant Secretary. There are approximately 1 to 3 executive reviews a year. The review participants are appointed by the Assistant Secretary and are individuals that had no involvement in the case, but whose professional expertise is pertinent to the case.

It is assumed executive reviews will also be conducted for near child fatality cases. During executive reviews the department covers the cost for the participants' travel, meals, and lodging. Some of the participants may reside out of the state of Washington, therefore increasing travel costs. A recent executive review exceeded more than \$10,000. The review was held in Moses Lake over several non consecutive days and participants were flown. It is estimated there will be a minimum number of executive reviews therefore costs are not included in the fiscal note.

## Part III: Expenditure Detail

### III. A - Expenditures by Object Or Purpose

	FY 2008	FY 2009	2007-09	2009-11	2011-13
FTE Staff Years		1.7	0.9	1.7	1.7
A-Salaries and Wages		106,000	106,000	212,000	212,000
B-Employee Benefits		34,000	34,000	68,000	68,000
C-Personal Service Contracts					
E-Goods and Services		20,000	20,000	40,000	40,000
G-Travel		6,000	6,000	12,000	12,000
J-Capital Outlays		21,000	21,000	24,000	24,000
M-Inter Agency/Fund Transfers					
N-Grants, Benefits & Client Services					
P-Debt Service					
S-Interagency Reimbursements					
T-Intra-Agency Reimbursements		1,000	1,000	2,000	2,000
<b>Total:</b>	\$0	\$188,000	\$188,000	\$358,000	\$358,000



**III. B - Detail:** *List FTEs by classification and corresponding annual compensation. Totals need to agree with total FTEs in Part I and Part IIIA*

<b>Job Classification</b>	<b>Salary</b>	<b>FY 2008</b>	<b>FY 2009</b>	<b>2007-09</b>	<b>2009-11</b>	<b>2011-13</b>
Intake Supervisor	60,420		0.1	0.1	0.1	0.1
Program Manager	64,992		1.0	0.5	1.0	1.0
Social Worker 3	53,436		0.2	0.1	0.2	0.2
Social Worker 4	60,420		0.4	0.2	0.4	0.4
<b>Total FTE's</b>			1.7	0.9	1.7	1.7

**Part IV: Capital Budget Impact**

**Part V: New Rule Making Required**

*Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.*