

Multiple Agency Fiscal Note Summary

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|-------------------------------|---|
| Bill Number: 1494 S HB | Title: Vulnerable adult referral |
|-------------------------------|---|

Estimated Cash Receipts

| Agency Name | 2011-13 | | 2013-15 | | 2015-17 | |
|-------------------------|-----------|--------------|-----------|--------------|-----------|--------------|
| | GF- State | Total | GF- State | Total | GF- State | Total |
| Washington State Patrol | 0 | 9,600 | 0 | 9,600 | 0 | 9,600 |
| Total \$ | 0 | 9,600 | 0 | 9,600 | 0 | 9,600 |

Estimated Expenditures

| Agency Name | 2011-13 | | | 2013-15 | | | 2015-17 | | |
|----------------------------|------------|------------|----------------|------------|------------|----------------|------------|------------|----------------|
| | FTEs | GF-State | Total | FTEs | GF-State | Total | FTEs | GF-State | Total |
| Office of Attorney General | .0 | 0 | 0 | .0 | 0 | 0 | .0 | 0 | 0 |
| Washington State Patrol | .0 | 0 | 5,740 | .0 | 0 | 5,360 | .0 | 0 | 5,360 |
| Department of Health | .0 | 0 | 0 | .0 | 0 | 0 | .0 | 0 | 0 |
| Total | 0.0 | \$0 | \$5,740 | 0.0 | \$0 | \$5,360 | 0.0 | \$0 | \$5,360 |

Estimated Capital Budget Impact

NONE

This bill was identified as a proposal governed by the requirements of RCW 43.135.031 (Initiative 960). Therefore, this fiscal analysis includes a projection showing the ten-year cost to tax or fee payers of the proposed taxes or fees.

| | | |
|--|---------------------------------|---------------------------------|
| Prepared by: Matthew Bridges, OFM | Phone: (360) 902-0575 | Date Published: Final |
|--|---------------------------------|---------------------------------|

* See Office of the Administrator for the Courts judicial fiscal note

** See local government fiscal note

FNPID 29375

FNS029 Multi Agency rollup

Individual State Agency Fiscal Note

| | | |
|-------------------------------|---|---|
| Bill Number: 1494 S HB | Title: Vulnerable adult referral | Agency: 100-Office of Attorney General |
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Part I: Estimates

☒ No Fiscal Impact

This bill was identified as a proposal governed by the requirements of RCW 43.135.031 (Initiative 960). Therefore, this fiscal analysis includes a projection showing the ten-year cost to tax or fee payers of the proposed taxes or fees.

The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.

Check applicable boxes and follow corresponding instructions:

- ☐ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

| | | |
|--------------------------------|-----------------------|------------------|
| Legislative Contact: | Phone: | Date: 03/01/2011 |
| Agency Preparation: Tina Kondo | Phone: (206) 464-6293 | Date: 03/03/2011 |
| Agency Approval: Sarian Scott | Phone: (360) 586-2104 | Date: 03/03/2011 |
| OFM Review: Matthew Bridges | Phone: (360) 902-0575 | Date: 03/03/2011 |

Request # 11-196-1

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

Section 1 is a new section that provides the legislative intent that elder and vulnerable adult placement referral agencies should be required to meet minimum standards and experience qualifications to promote better integration of senior housing choices.

Section 2 is a new section that is the definition section. Definitions include: “care services”, “client,” “elder and vulnerable adult placement referral agency,” “fee,” “person,” “provider,” and “vulnerable adult services.”

Section 3 is a new section that provides that after January 1, 2012, a person may not operate or maintain an elder placement referral agency in the state without complying with this chapter. Certain restrictions are placed on how the agency operates.

Section 4 is a new section that requires the elder placement referral agency keep records of all services rendered to clients. Details of the exact type of records are contained in this section.

Section 5 is a new section that requires each agency to keep records of all services rendered to, or on behalf of clients.

Section 6 is a new section which is revised in this version of the bill. This section requires a disclosure statement and what must be in the statement.

Section 7 is a new section which is revised in this version of the bill. This section regulates the agency intake form. Information access is regulated.

Section 8 is a new section which is revised in this version of the bill. This section regulates the manner by which an agency can provide a client referral. Prior to making a referral the agency must do a minimum number of things and retain records. The agency must check with the Department of Health (DOH) and the Department of Social and Health Services (DSHS) internet sites to determine if the provider is in enforcement status for violations of licensing regulations.

Section 9 is a new section which is revised in this version of the bill. This section requires the agency to disclose information regarding fees and refunds, and refunds are regulated.

Section 10 is a new section that requires employees of elder placement referral agencies to pass a criminal background check every 24 months.

Section 11 is a new section prohibiting the elder placement referral agency from charging or accepting a fee or other consideration from a client, senior service provider, or senior housing provider unless the agency complies with the terms of this chapter.

Section 12 is a new section that provides that the regulation of private elder placement referral agencies is exclusive. This section may not be construed to impact the authority of any political subdivision in the state to provide for licensing of

private elder placement referral agencies solely for revenue purposes.

Section 13 is a new section that prohibits the elder placement referral agency from soliciting or receiving remuneration in any manner in return for referring an individual to a person for the furnishing of any item or service for which payment may be made under RCW 74.09.

Section 14 is a new section that establishes that a violation of this chapter is a Consumer Protection Act violation.

Section 15 is a new section that says this chapter may be identified as the Elder and Vulnerable Adult Referral Agency Act.

Section 16 is a new section that constitutes sections 1 through 15 as a new chapter in RCW 18.

Section 17 is the severability clause.

This bill is assumed effective July 1, 2011.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

None.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

None.

Part III: Expenditure Detail

Part IV: Capital Budget Impact

NONE

Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.

None.

Individual State Agency Fiscal Note

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|-------------------------------|---|--|
| Bill Number: 1494 S HB | Title: Vulnerable adult referral | Agency: 225-Washington State Patrol |
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Part I: Estimates

☐

No Fiscal Impact

Estimated Cash Receipts to:

| ACCOUNT | FY 2012 | FY 2013 | 2011-13 | 2013-15 | 2015-17 |
|---|---------|---------|---------|---------|---------|
| Fingerprint Identification Account-State 225-1 | 4,800 | 4,800 | 9,600 | 9,600 | 9,600 |
| Total \$ | 4,800 | 4,800 | 9,600 | 9,600 | 9,600 |

Estimated Expenditures from:

| | FY 2012 | FY 2013 | 2011-13 | 2013-15 | 2015-17 |
|---|---------|---------|---------|---------|---------|
| FTE Staff Years | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Account | | | | | |
| Fingerprint Identification Account-State 225-1 | 3,060 | 2,680 | 5,740 | 5,360 | 5,360 |
| Total \$ | 3,060 | 2,680 | 5,740 | 5,360 | 5,360 |

Estimated Capital Budget Impact:

NONE

This bill was identified as a proposal governed by the requirements of RCW 43.135.031 (Initiative 960). Therefore, this fiscal analysis includes a projection showing the ten-year cost to tax or fee payers of the proposed taxes or fees.

The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.

Check applicable boxes and follow corresponding instructions:

- ☐ If fiscal impact is greater than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete entire fiscal note form Parts I-V.
- ☒ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

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|-----------------------------------|-----------------------|------------------|
| Legislative Contact: | Phone: | Date: 03/01/2011 |
| Agency Preparation: Shawn Eckhart | Phone: 360-596-4080 | Date: 03/02/2011 |
| Agency Approval: Heidi Thomsen | Phone: (360) 596-4046 | Date: 03/02/2011 |
| OFM Review: Alyson Cummings | Phone: 360-902-0576 | Date: 03/02/2011 |

Request # 0096-1

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

SHB 1494 regulates elder placement referral agencies. Section 10 requires that an employee of an elder placement referral agency must pass a criminal background check every 24 months. It is not known to WSP at this time whether the background checks would be fingerprint-based or based on an individual's name and date-of-birth. For the purposes this fiscal note, we are assuming that the background checks will be fingerprint-based.

The impact above does not change in the substitute from the original version, however the provision is now part of Consumer Protection Act rather than under the proposed regulatory authority of the Department of Health.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

The Department of Health had estimated for the original bill that this legislation will generate 300 additional background checks a year. WSP charges \$35.25 for these checks, of which \$19.25 is passed to the FBI and the remainder (\$16) is WSP's fee, assuming electronic submissions.

Estimated additional annual revenue for the Fingerprint Identification Account is:

300 background checks x \$16 = \$4,800 per year

However, if the background checks are instead based on an individual's name and date-of-birth, submitted using WATCH (Washington Access to Criminal History), the fee would be \$10 and the estimated revenue would be \$3,000 a year.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

WSP's Identification Section, using its workload impact model, estimates that SHB 1494 will impact its workload equivalent by about 0.04 FTEs per fiscal year. Estimates for goods and services, travel and equipment are based on our rule-of-thumb costs per FTE, which are derived from historical expenditures. If the background checks are instead based on name and date-of-birth submitted through WATCH, then WSP estimates the workload impact to be equivalent to 0.01 FTEs valued at \$660 in FY 2011 and \$570 in later fiscal years.

Part III: Expenditure Detail

III. A - Expenditures by Object Or Purpose

| | FY 2012 | FY 2013 | 2011-13 | 2013-15 | 2015-17 |
|--------------------------------------|---------|---------|---------|---------|---------|
| FTE Staff Years | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| A-Salaries and Wages | 1,680 | 1,680 | 3,360 | 3,360 | 3,360 |
| B-Employee Benefits | 600 | 600 | 1,200 | 1,200 | 1,200 |
| C-Personal Service Contracts | | | | | |
| E-Goods and Services | 230 | 230 | 460 | 460 | 460 |
| G-Travel | 100 | 100 | 200 | 200 | 200 |
| J-Capital Outlays | 450 | 70 | 520 | 140 | 140 |
| M-Inter Agency/Fund Transfers | | | | | |
| N-Grants, Benefits & Client Services | | | | | |
| P-Debt Service | | | | | |
| S-Interagency Reimbursements | | | | | |
| T-Intra-Agency Reimbursements | | | | | |
| 9- | | | | | |
| Total: | \$3,060 | \$2,680 | \$5,740 | \$5,360 | \$5,360 |

III. B - Detail: *List FTEs by classification and corresponding annual compensation. Totals need to agree with total FTEs in Part I and Part IIIA*

| Job Classification | Salary | FY 2012 | FY 2013 | 2011-13 | 2013-15 | 2015-17 |
|--------------------------|--------|---------|---------|---------|---------|---------|
| Fingerprint Technician 1 | 3,377 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Total FTE's | 3,377 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

Part IV: Capital Budget Impact

NONE

SHB 1494 does not impact WSP's capital budget.

Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.

SHB 1494 does not require rule changes for WSP.

Individual State Agency Fiscal Note

| | | |
|-------------------------------|---|---|
| Bill Number: 1494 S HB | Title: Vulnerable adult referral | Agency: 303-Department of Health |
|-------------------------------|---|---|

Part I: Estimates

☒ **No Fiscal Impact**

This bill was identified as a proposal governed by the requirements of RCW 43.135.031 (Initiative 960). Therefore, this fiscal analysis includes a projection showing the ten-year cost to tax or fee payers of the proposed taxes or fees.

The cash receipts and expenditure estimates on this page represent the most likely fiscal impact. Factors impacting the precision of these estimates, and alternate ranges (if appropriate), are explained in Part II.

Check applicable boxes and follow corresponding instructions:

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- ☐ If fiscal impact is less than \$50,000 per fiscal year in the current biennium or in subsequent biennia, complete this page only (Part I).
- ☐ Capital budget impact, complete Part IV.
- ☐ Requires new rule making, complete Part V.

| | | |
|----------------------------------|---------------------|------------------|
| Legislative Contact: | Phone: | Date: 03/01/2011 |
| Agency Preparation: Stacy May | Phone: 360-236-3927 | Date: 03/03/2011 |
| Agency Approval: Catherine Suter | Phone: 360-236-4544 | Date: 03/03/2011 |
| OFM Review: Ryan Black | Phone: 360-902-0417 | Date: 03/03/2011 |

Request # 11-153-1

Part II: Narrative Explanation

II. A - Brief Description Of What The Measure Does That Has Fiscal Impact

Briefly describe by section number, the significant provisions of the bill, and any related workload or policy assumptions, that have revenue or expenditure impact on the responding agency.

Note: The fiscal impact has not changed since the previous fiscal note (PSHB1494 FN11-096).

Section 8: Directs elder and vulnerable adult referral agencies to conduct a search of the Department of Health (DOH) website to determine if a care services provider is in enforcement status for violation of its licensing regulations. Because DOH already maintains this information, there will be no fiscal impact due to these searches.

II. B - Cash receipts Impact

Briefly describe and quantify the cash receipts impact of the legislation on the responding agency, identifying the cash receipts provisions by section number and when appropriate the detail of the revenue sources. Briefly describe the factual basis of the assumptions and the method by which the cash receipts impact is derived. Explain how workload assumptions translate into estimates. Distinguish between one time and ongoing functions.

II. C - Expenditures

Briefly describe the agency expenditures necessary to implement this legislation (or savings resulting from this legislation), identifying by section number the provisions of the legislation that result in the expenditures (or savings). Briefly describe the factual basis of the assumptions and the method by which the expenditure impact is derived. Explain how workload assumptions translate into cost estimates. Distinguish between one time and ongoing functions.

Part III: Expenditure Detail

Part IV: Capital Budget Impact

NONE

Part V: New Rule Making Required

Identify provisions of the measure that require the agency to adopt new administrative rules or repeal/revise existing rules.



Multiple Agency Ten-Year Analysis Summary

| | |
|--------------------|---------------------------|
| Bill Number | Title |
| 1494 S HB | Vulnerable adult referral |

This ten-year analysis is limited to the estimated cash receipts associated with the proposed tax or fee increases.

Estimated Cash Receipts

| Agency Name | Fiscal Year 2012 | Fiscal Year 2013 | Fiscal Year 2014 | Fiscal Year 2015 | Fiscal Year 2016 | Fiscal Year 2017 | Fiscal Year 2018 | Fiscal Year 2019 | Fiscal Year 2020 | Fiscal Year 2021 | 2012-21 TOTAL |
|----------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|------------------|
| Office of Attorney General | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Washington State Patrol | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 48,000 |
| Department of Health | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 48,000 |



Ten-Year Analysis

| | | |
|---------------------------------|---|---|
| Bill Number 1494 S HB | Title Vulnerable adult referral | Agency 100 Office of Attorney General |
|---------------------------------|---|---|

This ten-year analysis is limited to agency estimated cash receipts associated with the proposed tax or fee increases. The Office of Financial Management ten-year projection can be found at <http://www.ofm.wa.gov/tax/default.asp>.

Estimates

☒ **No Cash Receipts** ☐ **Indeterminate Cash Receipts**

| Name of Tax or Fee | Acct Code | | | | | | | | | | | |
|--------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
|--------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|

| | | |
|--------------------------------|-----------------------|----------------------------|
| Agency Preparation: Tina Kondo | Phone: (206) 464-6293 | Date: 3/3/2011 9:09:13 am |
| Agency Approval: Sarian Scott | Phone: (360) 586-2104 | Date: 3/3/2011 9:09:13 am |
| OFM Review: Kay Baxstrom | Phone: 360-902-0566 | Date: 3/7/2011 10:20:30 am |



Ten-Year Analysis

| | | |
|---------------------------------|---|--|
| Bill Number 1494 S HB | Title Vulnerable adult referral | Agency 225 Washington State Patrol |
|---------------------------------|---|--|

This ten-year analysis is limited to agency estimated cash receipts associated with the proposed tax or fee increases. The Office of Financial Management ten-year projection can be found at <http://www.ofm.wa.gov/tax/default.asp>.

Estimates

☐ **No Cash Receipts**
☐ **Indeterminate Cash Receipts**

Estimated Cash Receipts

| Name of Tax or Fee | Acct Code | Fiscal Year 2012 | Fiscal Year 2013 | Fiscal Year 2014 | Fiscal Year 2015 | Fiscal Year 2016 | Fiscal Year 2017 | Fiscal Year 2018 | Fiscal Year 2019 | Fiscal Year 2020 | Fiscal Year 2021 | 2012-21 TOTAL |
|--|-----------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|---------------|
| electronically-submitted fingerprint-based background checks | 225 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 48,000 |
| Total | | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 4,800 | 48,000 |
| Biennial Totals | | 9,600 | | 9,600 | | 9,600 | | 9,600 | | 9,600 | | 48,000 |

| | | |
|-----------------------------------|-----------------------|----------------------------|
| Agency Preparation: Shawn Eckhart | Phone: 360-596-4080 | Date: 3/2/2011 2:26:01 pm |
| Agency Approval: Heidi Thomsen | Phone: (360) 596-4046 | Date: 3/2/2011 2:26:01 pm |
| OFM Review: Kay Baxstrom | Phone: 360-902-0566 | Date: 3/7/2011 10:20:30 am |



Ten-Year Analysis

| | | |
|---------------------------------|---|---|
| Bill Number 1494 S HB | Title Vulnerable adult referral | Agency 303 Department of Health |
|---------------------------------|---|---|

This ten-year analysis is limited to agency estimated cash receipts associated with the proposed tax or fee increases. The Office of Financial Management ten-year projection can be found at <http://www.ofm.wa.gov/tax/default.asp>.

Estimates

☒ **No Cash Receipts** ☐ **Indeterminate Cash Receipts**

| Name of Tax or Fee | Acct Code | | | | | | | | | | | |
|--------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
|--------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|

| | | |
|----------------------------------|---------------------|----------------------------|
| Agency Preparation: Stacy May | Phone: 360-236-3927 | Date: 3/3/2011 5:56:58 pm |
| Agency Approval: Catherine Suter | Phone: 360-236-4544 | Date: 3/3/2011 5:56:58 pm |
| OFM Review: Kay Baxstrom | Phone: 360-902-0566 | Date: 3/7/2011 10:20:30 am |